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OFFICE OF PETITIONS

P/2167-158

# 9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Albert Kwang-Hwa SUN, et al.

Date: February 2, 2001

Serial No.: 09/495,393

Group Art Unit: Unknown

Filed: January 31, 2000

Examiner: Unassigned

For: SYSTEM AND METHOD FOR INTEGRATING TRADING OPERATIONS  
INCLUDING THE GENERATION, PROCESSING AND TRACKING OF AND  
TRADE DOCUMENTS

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Asst. Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR 1.47 (a)

Sir:

This Request for Reconsideration is in response to the decision refusing status under 37 CFR 1.47(a) mailed October 2, 2000.

The Petition was dismissed as it lacked: (1) proof that the non signing inventor cannot be reached or refuses to sign the Oath or Declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable Oath or Declaration in compliance with 35 U.S.C. §115 and §116 (37 CFR 1.63 and 1.64); and (4) a statement of the last known address of the non-signing inventor. (Note: Applicants has satisfied element (3) consisting of the petition fee.)

In response to item (1), Applicants submit herewith a copy of a Declaration by David Perkins. Mr. Perkins is an attorney and an employee of the Assignee of the present application, The Chase Manhattan Bank. As indicated in paragraph four (4) of his Declaration, Mr. Perkins forwarded a copy of the present U.S. patent application to Mr. Solomon for his review. As

indicated in paragraphs 5-8 of the Declaration, Mr. Perkins met with Mr. Solomon in Melbourne, Australia on two separate occasions. At each of these meetings, the present U.S. application and the Declaration and Assignment documents were discussed. As indicated in paragraph 9 of the application, at the conclusion of the second meeting, Mr. Solomon repeated his refusal to execute the Declaration and Assignment with respect to the present U.S. application.

In light of Mr. Perkins Declaration, Applicants respectfully submit that it has been definitively shown that Mr. Solomon was presented with a copy of the application papers, including the specification, claims and drawings and that Mr. Solomon refused to sign the Declaration after having reviewed the application papers.

With respect to item (2), Applicants submit herewith a copy of a blank Declaration indicating Mr. Solomon's last known address. Applicant's have previously submitted a combined Declaration and Power of Attorney executed by each of the remainder of the inventors. Mr. Solomon was indicated as an inventor on that executed Declaration and Power of Attorney, but with an incorrect address. Applicants respectfully submit that the attached Declaration and Power of Attorney satisfies the intent of the laws and the rules with respect to submitting the last known address of the non-signing inventor.

With respect to item (4), Applicants state that the last known address of Mr. Solomon is:

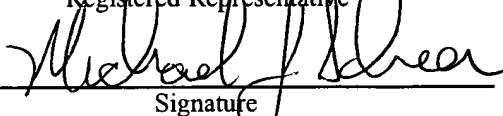
Sol Solomon  
61 Rosemont Avenue  
Caulfield Vic 3161  
Australia

In light of the Declaration of Mr. Perkins and the U.S. Declaration and Power of Attorney indicating the last known address of Mr. Solomon, Applicants respectfully submit they have satisfied all of the requirements for granting Applicants Petition Under 37 C.F.R. 1.47.

The grant of this Petition is therefore respectfully requested.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on February 2, 2001:

Michael J. Scheer  
Name of applicant, assignee or  
Registered Representative



Signature

February 2, 2001  
Date of Signature

Respectfully submitted,



Michael J. Scheer  
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